

**Yunik Managing Advisors Limited**

(Formerly known as Essar Securities Limited)

Essar House, 11, K. K. Marg,  
Mahalaxmi, Mumbai- 400 034

**CIN: L70200TN2005PLC071791**

T +91 22 6660 1100

F +91 22 2354 4789

E:eslinvestors@essar.com

**April 19, 2024**

To,

**BSE Limited,**

Phiroze Jeejeebhoy Towers,

Dalal Street, Fort,

Mumbai – 400 001

**Sub: Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended March 31, 2024**

**Ref: Scrip Code No. 533149**

Dear Sir,

Pursuant to Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith a certificate issued by CS V. Mahesh, Practicing Company Secretary, for the quarter and year ended as on March 31, 2024.

Request you to kindly take the same on your record.

Thanking you,

Yours faithfully,

**For Yunik Managing Advisors Limited**

**(Formerly known as Essar Securities Limited)**

Priyanka

Mayuresh Oka

Digitally signed by Priyanka  
Mayuresh Oka  
Date: 2024.04.19 17:39:14  
+05'30'

**Priyanka Oka**

**Director**

**DIN: 08066379**

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31<sup>ST</sup>  
MARCH, 2024**

1. I have examined all relevant records, registers and other documents, relating to Equity shares of M/s. **Yunik Managing Advisors Limited (Formerly known as Essar Securities Limited) (CIN: L70200TN2005PLC071791) ("the Company")** maintained by its Registrar and Share Transfer Agents, M/s. Data Software Research Company Private Limited (RTA), No.19, Pycrofts Garden Road, Off Haddows Road, Nungambakkam, Chennai-600 006, pertaining to the period from 01<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations 2015 ("Regulations") and based on the information provided by the Company, hereby certify that during the financial year ended on 31<sup>st</sup> March, 2024:
- a) There were no requests for physical share transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies received during the period 01<sup>st</sup> April 2023 to 31<sup>st</sup> March 2024.
- b) Letter of confirmation(s) in respect of requests for transmission of shares, deletion of names, changes in names, issue of duplicate of share certificates have been issued within thirty days from the respective date of lodgment of requests.

**For V. Mahesh & Associates,  
Company Secretaries**



**V. Mahesh**  
**Practicing Company Secretary**  
**C.P Number: 2473**  
**UDIN: F004162F000064816**

**Date : 09-04-2024**  
**Place : Chennai**